



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/812,593         | 03/21/2001          | Hisanori Itoh         | 325772023300           |

CONFIRMATION NO. 1883

FORMALITIES LETTER



\*OC00000006015377\*

Barry E. Bretschneider  
Morrison & Foerster LLP  
Suite 5500  
2000 Pennsylvania Avenue, N.W.  
Washington, DC 20006-1888

Date Mailed: 04/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*MTB*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/21/2001 ETULU1 00000034 09812593

01 FC:105

130.00 OP



# 71

Section A

PATENT  
Docket No. 325772023300

## CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on June 20, 2001.

Marieta Luke

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Hisanori ITOH et al.

Serial No.: 09/812,593

Filing Date: March 21, 2001

For: INFORMATION COMMUNICATION  
APPARATUS

Examiner: to be assigned

Group Art Unit: 2612

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(b) dated April 27, 2001, Applicants, as a large entity, submit herewith the following:

- ☒ A combined Declaration and Power of Attorney signed by the inventors and the surcharge of \$130.00 as set forth in 37 CFR 1.16(e).
- ☒ Fee Transmittal
- ☒ A check in the amount of \$130.00.
- ☒ Other: Copy Form PTO 1533.

The filing fee has been calculated as follows:

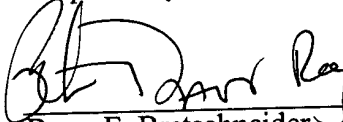
| FOR   | NUMBER FILED | NUMBER EXTRA | RATE                          | CALCULATIONS               |
|---|--------------|--------------|-------------------------------|----------------------------|
| TOTAL CLAIMS  | 11 - 20 =    | 0            | x \$18.00                     | \$0.00                     |
| INDEPENDENT CLAIMS  | 2 - 3 =      | 1            | x \$80.00                     | \$0.00                     |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |              | + \$270.00                    | \$0.00                     |
|   |              |              | BASIC FEE                     | \$710.00                   |
|   |              |              | TOTAL OF ABOVE CALCULATIONS = | \$710.00 (previously paid) |
| Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28).<br>If applicable, verified statement must be attached. |              |              |                               | \$0.00                     |
| SURCHARGE FOR FILING MISSING PARTS  |              |              |                               | \$130.00                   |
|   |              |              | TOTAL =                       | \$130.00                   |

☒ A check in the amount of \$130.00 is attached.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to Deposit Account No. 03-1952.

Dated: June 20, 2001

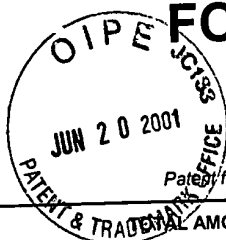
Respectfully submitted,

By:   
Barry E. Bretschneider  
for Registration No. 28,055

Morrison & Foerster LLP  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL FOR FY 2001



Patent fees are subject to annual revision.

## Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   | 09/812,593           |
| Filing Date          | March 21, 2001       |
| First Named Inventor | Hisanori ITOH et al. |
| Examiner Name        | to be assigned       |
| Group Art Unit       | 2612                 |
| Attorney Docket No.  | 325772023300         |

TOTAL AMOUNT OF PAYMENT

(\$40.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |                         |
|------------------------|-------------------------|
| Deposit Account Number | 03-1952                 |
| Deposit Account Name   | Morrison & Foerster LLP |

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 710             | 201            | 355             | Utility filing fee     |          |
| 106            | 320             | 206            | 160             | Design filing fee      |          |
| 107            | 490             | 207            | 245             | Plant filing fee       |          |
| 108            | 710             | 208            | 355             | Reissue filing fee     |          |
| 114            | 150             | 214            | 75              | Provisional filing fee |          |

SUBTOTAL (1) (\$0.00)

## 2. EXTRA CLAIM FEES

| 2. EXTRA CLAIM FEES |    |          | Extra Claims |   | Fee from below |   | Fee Paid |
|---------------------|----|----------|--------------|---|----------------|---|----------|
| Total Claims        | 11 | - 20** = | 0            | x | 18.00          | = | \$0.00   |
| Independent Claims  | 2  | - 3** =  | 0            | x | 80.00          | = | \$0.00   |
| Multiple Dependent  |    |          |              |   | 270.00         | = | \$0.00   |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   | Fee Paid |
|----------------|-----------------|----------------|-----------------|---|----------|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |          |
| 102            | 80              | 202            | 40              | Independent claims in excess of 3                         |          |
| 104            | 270             | 204            | 135             | Multiple dependent claims, if not paid                    |          |
| 109            | 80              | 209            | 40              | **Reissue independent claims over original patent         |          |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$0.00)

\*\* or number previously paid, if greater; For reissues, see above.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  | 130.00   |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                       |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for <i>ex parte</i> reexamination                       |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                       |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                          |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                       |          |
| 116            | 390             | 216            | 195             | Extension for reply within second month                                      |          |
| 117            | 890             | 217            | 445             | Extension for reply within third month                                       |          |
| 118            | 1,390           | 218            | 695             | Extension for reply within fourth month                                      |          |
| 128            | 1,890           | 228            | 945             | Extension for reply within fifth month                                       |          |
| 119            | 310             | 219            | 155             | Notice of Appeal   |          |
| 120            | 310             | 220            | 155             | Filing a brief in support of an appeal                                       |          |
| 121            | 270             | 221            | 135             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                                |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,240           | 241            | 620             | Petition to revive - unintentional   |          |
| 142            | 1,240           | 242            | 620             | Utility issue fee (or reissue)   |          |
| 143            | 440             | 243            | 220             | Design issue fee   |          |
| 144            | 600             | 244            | 300             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions of the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                                |          |
| 126            | 180             | 126            | 180             | Submission of Information Disclosure Stmt                                    |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per properties (times number of properties) |          |
| 146            | 710             | 246            | 355             | Filing a submission after final rejection (37 CFR § 1.129(a))                |          |
| 149            | 710             | 249            | 355             | For each additional invention to be examined (37 CFR § 1.129(b))             |          |
| 179            | 710             | 279            | 355             | Request for Continued Examination (RCE)                                      |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                    |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$130.00)

## SUBMITTED BY

Name (Print/Type)

Barry E. Bretschneider

Registration No.  
(Attorney/Agent)

28,055

Complete (if applicable)

Telephone

202-887-1545

Date

June 20, 2001

Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

dc-267753